

02/12/02
jc662 U.S. PTO

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 8818.001.00-US First Inventor John M. Harris Title METHOD AND SYSTEM OF, etc. Express Mail Label No.																																				
APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231																																				
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 29] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3]</p> <p>5. Oath or Declaration [Total Pages]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small></p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or <input type="checkbox"/> paper ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies </p>																																				
ACCOMPANYING APPLICATIONS PARTS <table border="0" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;">9.</td> <td><input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</td> </tr> <tr> <td>10.</td> <td><input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small></td> </tr> <tr> <td>11.</td> <td><input type="checkbox"/> English Translation Document (if applicable)</td> </tr> <tr> <td>12.</td> <td><input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</td> </tr> <tr> <td>13.</td> <td><input type="checkbox"/> Preliminary Amendment</td> </tr> <tr> <td>14.</td> <td><input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></td> </tr> <tr> <td>15.</td> <td><input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></td> </tr> <tr> <td>16.</td> <td><input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).</td> </tr> <tr> <td>17.</td> <td><input checked="" type="checkbox"/> Applicant must attach form PTO/SB/35 or its equivalent.</td> </tr> <tr> <td></td> <td><input type="checkbox"/> Other: _____</td> </tr> </table>				9.	<input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	10.	<input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small>	11.	<input type="checkbox"/> English Translation Document (if applicable)	12.	<input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	13.	<input type="checkbox"/> Preliminary Amendment	14.	<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	15.	<input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	16.	<input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).	17.	<input checked="" type="checkbox"/> Applicant must attach form PTO/SB/35 or its equivalent.		<input type="checkbox"/> Other: _____															
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<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____</p> <p><i>Prior application information: Examiner _____ Group / Art Unit: _____</i></p> <p>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>																																						
19. CORRESPONDENCE ADDRESS																																						
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		 30827																																				
or <input checked="" type="checkbox"/> Correspondence address below																																						
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="padding: 2px;">Name</td> <td colspan="3" style="padding: 2px;">LONG ALDRIDGE & NORMAN LLP TRADEMARK OFFICE</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Song K. Jung</td> <td colspan="3"></td> </tr> <tr> <td colspan="2" style="padding: 2px;">Address</td> <td colspan="3" style="padding: 2px;">701 Pennsylvania Avenue N.W. Suite 600</td> </tr> <tr> <td colspan="2" style="padding: 2px;">City</td> <td style="padding: 2px;">Washington</td> <td style="padding: 2px;">State</td> <td style="padding: 2px;">DC</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Country</td> <td style="padding: 2px;">US</td> <td style="padding: 2px;">Telephone</td> <td style="padding: 2px;">(202) 624-1200</td> </tr> <tr> <td colspan="2" style="padding: 2px;"> </td> <td style="padding: 2px;">Zip Code</td> <td colspan="2" style="padding: 2px;">20004</td> </tr> <tr> <td colspan="2" style="padding: 2px;"> </td> <td style="padding: 2px;">Fax</td> <td colspan="2" style="padding: 2px;">(202) 624-1298</td> </tr> </table>				Name		LONG ALDRIDGE & NORMAN LLP TRADEMARK OFFICE			Song K. Jung					Address		701 Pennsylvania Avenue N.W. Suite 600			City		Washington	State	DC	Country		US	Telephone	(202) 624-1200			Zip Code	20004				Fax	(202) 624-1298	
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		Fax	(202) 624-1298																																			
Name (Print/Type)		Song K. Jung		Registration No. (Attorney/Agent)	35,210																																	
Signature				Date	February 12, 2002																																	

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Application claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 780.00)

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	February 12, 2002
First Named Inventor	John M. Harris
Examiner Name	Not Yet Assigned
Group Art Unit	N/A
Attorney Docket No.	8818.001.00-US

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None
 Deposit Account

Deposit Account Number 50-0911
 Deposit Account Name Long Aldridge & Norman LLP

The Commissioner is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1) (\$)		740.00			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	11	-20** =		0.00
Independent Claims	2	-3** =		0.00
Multiple Dependent				

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		0.00		

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
105	130	205 65 Surcharge – late filing fee or oath	
127	50	227 25 Surcharge – late provisional filing fee or cover sheet.	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive – unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00
146	740	246 370 Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR 1.129(h))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY					
Name (Print/Type)		Registration No. (Attorney/Agent)		Complete (if applicable)	
Signature	Song K. Jung	35,210	Telephone	(202) 624-1250	
Date	February 12, 2002				